

07/01
U.S. PTO

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07-20-01
PTO/SB/05 (08/00)

Approved through 10/31/2002, OMB 0651-0032 A +

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.	31529.0011
First Inventor	Guldenfels et al.
Title	Snap-On Side Guards
Express Mail Label No.	EE209745497US

APPLICATION ELEMENTS*See MPEP chapter 600 concerning utility patent application contents*

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See CFR 1.27.
3. Specification [Total Pages / 14 /]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 USC 113) [Total Sheets / 8 /]
5. Oath or Declaration [Total Pages / 3 /]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
 Washington, D.C. 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document *(if applicable)*
12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Other: 2 checks: \$40 and \$790

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of the prior application No: / _____

Prior application information: Examiner: _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

NAME	David L. Principe			
	Hodgson Russ LLP			
ADDRESS	One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX
				(716) 849-0349

"Express Mail" Mailing Label Number EE209745497US

Date of Deposit July 19, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

David L. Principe
Name

Signature

BFLDOCS:382_1 (@M01)

FEE TRANSMISSION for FY 2001

Patent Fees are subject to annual revision.

Application Number

Filing Date

July 19, 2001

First Named Inventor

Guldenfels et al.

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

(\$830)

Attorney Docket Number

31529.0011

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

3. ADDITIONAL FEES

Deposit Account Number: 08-2442
 Deposit Account Name:
Hodgson Russ LLP

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
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Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

105	130	205	65	Surcharge - late filing fee or oath	\$
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Applicant claims small entity status. See 37 CFR 1.27.

127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
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2. Payment Enclosed:

Check Credit Card Money Order Other

139	130	139	130	Non-English specification	\$
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FEE CALCULATION

147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	\$
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1. BASIC FILING FEE

Large Entity Small Entity

112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
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Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	Fee Description	Fee Paid
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101 710	201 355	Utility filing fee	\$710	115	110 215 55 Extension for reply within first month
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106 320	206 160	Design filing fee	\$	116	390 216 195 Extension for reply within second month
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107 490	207 245	Plant filing fee	\$	117	890 217 445 Extension for reply within third month
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108 710	208 355	Reissue filing fee	\$	118	1,390 218 695 Extension for reply within fourth month
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114 150	214 75	Provisional filing fee	\$	128	1,890 228 945 Extension for reply within fifth month
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SUBTOTAL (1)		\$710	119	310 219 155 Notice of Appeal	\$
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2. EXTRA CLAIM FEES	Extra Fee from Claims below	Fee Paid	120	310 220 155 Filing a brief in support of an appeal	\$
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Total Claims /20 / -20** = / / x / / =	\$	121	270 221 135 Request for oral hearing	\$
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Independent Claims / 4 / - 3** = / 1 / x / 80 /	\$ 80	138	1,510 138 1,510 Petition to institute a public use proceeding	\$
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Multiple dependent / / x / / =	\$	140	110 240 55 Petition to revive - unavoidable	\$
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Large Entity Small Entity		141	1,240 241 620 Petition to revive - unintentional	\$
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Fee Code (\$)	Fee Code (\$)	Fee Description	142	1,240 242 620 10 advance copies Utility issue fee (or reissue)	\$
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103 18 203 9	Claims in excess of 20	143	440 243 220 Design issue fee	\$
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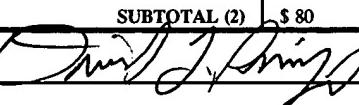
102 80 202 40	Independent claims in excess of 3	144	600 244 300 Plant issue fee	\$
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104 270 204 135	Multiple dependent claim if not paid	122	130 122 130 Petitions to the Commissioner	\$
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109 80 209 40	**Reissue independent claims over original patent	123	50 123 50 Processing fee under 37 CFR 1.17(q)	\$
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110 18 210 9	**Reissue claims in excess of 20 and over original patent	126	180 126 180 Submission of Information Disclosure Statement	\$
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SUBTOTAL (2)	\$ 80	581	40 581 40 Recording each patent assignment per property (times number of properties)	\$ 40
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SIGNATURE: 	146	710 246 355 Filing a submission after final rejection(37 CFR 1.129(a))	\$
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David L. Principe, Reg. No. 39,336	149	710 249 355 For each add'l invention to be examined(37 CFR 1.129(b))	\$
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DATE: July 19, 2001 Telephone: (716) 848-1262	179	710 279 355 Request For Continued Examination (RCE)	\$
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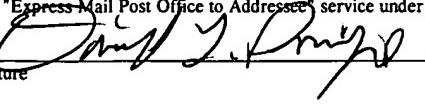
Express Mail" Mailing Label Number:	169	900 169 900 Request for Expedited Examination of a design appln.	\$
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Date of Deposit <u>EE209745497US</u>	*Reduced by basic filing fee paid		
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SUBTOTAL (3) \$ 40

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

David L. Principe



Name 213419_1 (4K_B01)